



PATENT  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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APR 09 2003  
TECH CENTER 1600/2900

IN RE APPLICATION OF : Shodai et al.  
FOR : CHEWABLE SOFT CAPSULE HAVING  
IMPROVED INGESTION PROPERTY AND  
METHOD FOR PRODUCING SAME  
SERIAL NO. : 09/914,340  
FILED : February 19, 2002  
EXAMINER : Unknown  
ART UNIT : 1615  
ATTORNEY DOCKET NO. : YAMZ 2 00009

April 1, 2003  
Cleveland, OH 44114-2579

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. § 1.56, 1.97, 1.98 and MPEP § 609, applicant(s) submit(s) the following Disclosure Statement concerning art of which the applicant(s) is (are) aware. A copy of PTO-1449 is enclosed herewith.

This Information Disclosure Statement is not intended to constitute an admission that any patent, publication or other information referred to herein or submitted herewith is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g) and (h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(b) exists.

**CERTIFICATE OF MAILING**

I hereby certify that this **INFORMATION DISCLOSURE STATEMENT** is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231, on April 1, 2003.

By:

Lynda S. Kalemba  
Lynda S. Kalemba

Under § 1.98(a)(3), no concise explanation of relevance is required for information that is in the English language. Accordingly, the enclosed patent requires no further explanation (or no translation is available).

Consideration of the appropriate paragraph(s) indicated below is respectfully requested:

☐ **WITHIN THREE MONTHS OF FILING:** Under § 1.97(b)(1), this information disclosure statement is being filed within three months of the filing date of the application. Although it is believed no fee is necessary, any deficiency in fees should be charged to Deposit Account No. 06-0308.

☒ **BEFORE FIRST OFFICE ACTION:** Under § 1.97(b)(3), this information disclosure statement is being filed before the mailing date of a first Office Action on the merits. Although it is believed no fee is necessary, any deficiency in fees should be charged to Deposit Account No. 06-0308.

☒ **FOREIGN SEARCH REPORT:** Under § 1.97(e)(1), the undersigned certifies that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. Although it is believed no fee is necessary, any deficiency in fees should be charged to Deposit Account No. 06-0308.

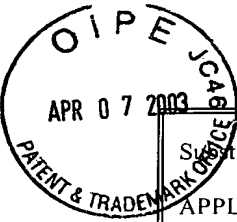
It is respectfully requested that the attached document(s) be considered and officially cited in examination of this application.

Respectfully submitted,

FAY, SHARPE, FAGAN,  
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Submt. Form PTO-1449 APPLICANT'S(S)' INFORMATION DISCLOSURE STATEMENT	Atty.Dkt.No: YAMZ 2 00009	Serial No.: 09/914,340
	Applicant(s): Shodai et al.	
	Filing Date: 19 FEB 2002	Group: 1615

U.S. PATENT DOCUMENTS							
Initial *		Document No.	Date	Name	Class	Subcl.	Filing Date
	AA	4,780,316	25 OCT 1988	Brox			
	AB	5,849,787	15 DEC 1998	Fujiwara et al.			
	AC						
	AD						
	AE						
	AF						
	AG						

FOREIGN PATENT DOCUMENTS							
		Document No.	Date	Country	Class	Subcl.	Translation?
	AH	CN 1136446	27 NOV 1996	China			No
	AJ	KR 8802035	13 OCT 1988	Korea			No
	AK						
	AL						
	AM						
	AN						

OTHER ART		
	AO	
	AP	

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Examiner:	Date Considered:
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if in conformance and not considered. Include copy of this form with next communication to applicant.	